

sector #



Attorney Docket No. 990204/LH

**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Applicant(s): H. OMURA, ET AL

Serial No. : 09/281,710

Filed : March 30, 1999

For : EXTERNAL CAVITY LASER

Art Unit :

Examiner :

**SUBMISSION OF**

1. **SIGNED DECLARATION**
2. **ENGLISH LANGUAGE TRANSLATION**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Att: Application Branch

S I R :

Responsive to the Patent Office Notice mailed April 23,  
1999, the term for response to which expires on June 23, 1999,  
submitted herewith are the following:

1. Declaration executed by the inventors, referring to the  
application by Serial Number and filing date.
2. Accurate Translation into English of the specification,  
claims and Abstract, together with a complete set of  
formal drawings.

A check in the amount of \$ 1,020.00 is enclosed to cover the  
cost of the following:

CERTIFICATE OF MAILING

I hereby certify this  
correspondence is being  
deposited with the United  
States Postal Service as First  
Class mail in an envelope  
addressed to: Assistant  
Commissioner for Patents  
Washington, D.C. 20231 on the  
date noted below.

\_\_\_\_\_  
Attorney: Leonard Holtz

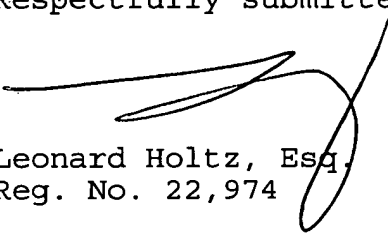
Dated: June 1, 1999

In the event that this Paper  
is late filed, and the  
necessary petition for  
extension of time is not filed  
concurrently herewith, please  
consider this as a Petition  
for the requisite extension of  
time, and to the extent not  
tendered by check attached  
hereto, authorization to  
charge the extension fee,  
or any other fee required  
in connection with this  
Paper, to Account No. 06-1378.

1. For submission of the signed Declaration - \$130.00;
2. For filing a "Non-English Specification - \$130.00; and
3. For Patent Office Filing Fee - \$ 760.00.

It is respectfully requested that prosecution on the merits now proceed.

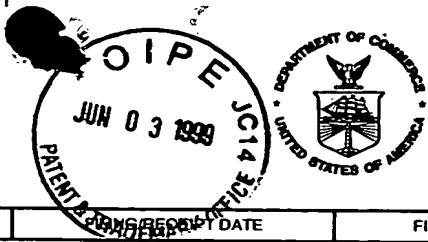
Respectfully submitted,



Leonard Holtz, Esq.  
Reg. No. 22,974

June 1, 1999

Frishauf, Holtz, Goodman, Langer & Chick, P.C.  
767 Third Avenue - 25th Floor  
New York, New York 10017-2023  
Tel. No. (212) 319-4900  
Fax No. (212) 319-5101  
LH:sp



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

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B

APPLICATION NUMBER	RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/281,710	03/30/99	OMURA	H 990204/LH

0242/0423

FRISHAUF HOLTZ GOODMAN  
LANGER & CHICK  
767 THIRD AVENUE  
NEW YORK NY 10017-2023

NOT ASSIGNED

0000

DATE MAILED:

04/23/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

due June 23 '99

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1020.00.

- ☒ 1. The statutory basic filing fee is:  
☒ missing.  
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:  
☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☒ 8. The application was filed in a language other than English. 130.00

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

*Dujan*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

06/04/1999 VHM11 00000004 09281710 130.00 76.00 88

Attorney Docket No. 990204/LH

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AND TRADEMARK OFFICE**

Applicant(s): H. OMURA, ET AL

Serial No. : 09/281,710

Filed : March 30, 1999

For : EXTERNAL CAVITY LASER

Art Unit :

Examiner :

**LETTER RE. FILING RECEIPT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

S I R :

It is respectfully requested that when the Patent Office issues its second filing receipt after the filing of the Post-filed Declaration which is being submitted concurrently herewith, that the Patent Office correct the Japanese Priority Application Number on the filing receipt to read as --10-92056--.

Respectfully submitted,

Leonard Holtz, Esq.  
Reg. No. 22,974

June 1, 1999

Frishauf, Holtz, Goodman, Langer & Chick, P.C.  
767 Third Avenue - 25th Floor  
New York, New York 10017-2023  
Tel. No. (212) 319-4900  
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LH:sp

CERTIFICATE OF MAILING

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner for Patents Washington, D.C. 20231 on the date noted below.

Attorney: Leonard Holtz

Dated: June 1, 1999

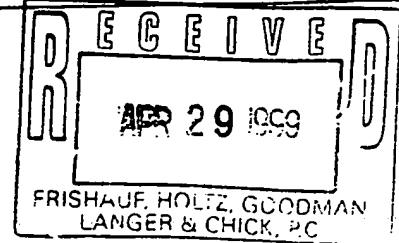
In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper, to Account No. 06-1378.

**FILING RECEIPT**

**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231**

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/281,710	03/30/99		\$0.00	990204/LH	6	1	1

FRISHAUF HOLTZ GOODMAN  
LANGER & CHICK  
767 THIRD AVENUE  
NEW YORK NY 10017-2023



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

**Applicant(s)**

HIDEYUKI OMURA, TOKYO, JAPAN; HIDEYUKI NASU, TOKYO, JAPAN.

FOREIGN APPLICATIONS- JAPAN

1092056

04/03/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 04/20/99  
TITLE  
EXTERNAL CAVITY LASER

PRELIMINARY CLASS:

10-92056

DATA ENTRY BY: DIXON, DOROTHY L.

TEAM: 04 DATE: 04/20/99

**THE UNIVERSITY OF CHICAGO**

1999-2000